

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Luchoomun, et al.

Application No.: 09/833,407

Filed:

April 11, 2001

For: Compounds and Methods to Increase Plasma HDL Cholesterol Levels and

Improve HDL Functionality

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, DC 20231

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Respectfully submitted,

Clark G. Sullivan Reg. No. 36,942

King & Spalding

45th Floor, 191 Peachtree Street, N.E.

Atlanta, GA 30303

Telephone: 404.572.3513 Facsimile: 404.572.5145 K&S Docket: 04676.105059

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on November 26, 2002.

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T ASS	ATEMENT BY	APPL	ICANT	First Named Inventor	Luchoomun, et al						
				Group Art Unit	1614						
8ME	1	of	3	Attorney Docket Number	04676.105059						

	U.S. PATENT DOCUMENTS								
Examiner Initials *	Cite No. 1	U.S. Patent Document Number Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date Filed	Pgs, Clmns, Lns, Where Relevant Passages/Relevant Figs Appear				
	AA	U.S.S.N. 09/370,046	Russell M. Medford, et al	08/06/99					
	AB	U.S.S.N. 09/436,892 (Corrected Amendment and Response to Office Action dated 08/17/01)	Medford, et al	11/09/99					
	AC	U.S.S.N. 09/570,098 (Amendment and Response to Office Action dated 05/12/00)	Patricia K. Somers	05/12/00					
	AD	U.S.S.N. 09/779,086 (Substitute Specification and Drawings filed 06/18/01)	Chinery, et al	02/07/01					
	AE	U.S.S.N. 09/815,262	Charles Q. Meng, et al	03/21/01					

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	1				
BA	U.S.S.N. 10/060,734 (Preliminary Amendment Filed 01/30/02)		Patricia K. Somers	01/30/02	
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				FOREI	GN PAT	TENT DOCUMENTS	3		
Examiner Initials *	Cite No. 1	Foreign Pate Office ³ Number		Kind Code ²		Name of Patentee or Applicant of Cited	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	T ⁶
		<u> </u>		(if	known)	Document	DD-MM-YYYY	Figures Appear	

	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS								
Examiner Initials *	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.							
		Meng et al.; Novel Phenolic Antioxidants as Multifunctional Inhibitors of Inducible VCAM-1 Expression for Use in Atherosclerosis," <i>Bioorganic & Medicinal Chemistry Letters</i> ; (2002); pp. 2545 – 2548							
		Rinninger et al.; "Probucol Enhances Selective Uptake of HDL-Associated Cholesteryl Esters In Vitro by a Scavenger Receptor B-I-Dependent Mechanism," Artherioscler Thromb Vasc Biol.; (1999); Vol. 19; pp. 1325 - 1332							
		Sawayama, et al.; "Effects of Probucol and Pravastatin on Common Carotid Atherosclerosis in Patients with Asymptomatic Hypercholesterolemia," <i>Journal of the American College of Cardiology;</i> Vol 39; No. 4; (2002); pp. 610 - 616							

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